# (19) World Intellectual Property Organization International Bureau



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### **PCT**

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English

G01N 27/62

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English

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23 June 1999 (23.06.1999) GB

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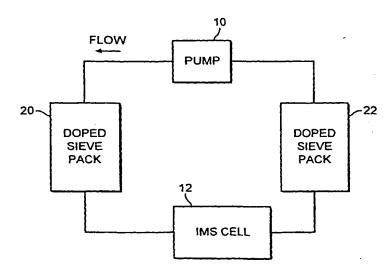
- (74) Agents: MAGGS, Michael, N. et al.; Kilburn & Strode, 20 Red Lion Street, London WC1R 4PJ (GB).
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

### Published:

With international search report.

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: ION MOBILITY SPECTROMETER



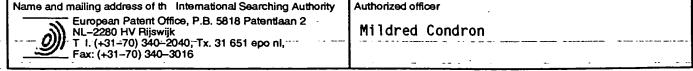
(57) Abstract: An ion mobility spectrometer system comprising: an ion mobility detector, a gas/vapour circulating system for the ion mobility detector into which samples of gases and vapours of interest may be drawn for detection by the ion mobility spectrometer; the circulating system comprising an ion mobility cell (12), means for drying and/or cleaning (20, 22) the circulating gases/vapours in the circulating system, a dopant source (20, 22), and means for causing circulation (10) of the gases/vapours within the circulating system; in which the dopant source and the means for drying and/or cleaning the circulating gases/vapours are combined (20, 22), whereby the need for a physically separate dopant source for the system is obviated. The dopant source material may be combined with the material for drying and or cleaning the circulating gases/vapours.



O 00/79261



## From the INTERNATIONAL SEARCHING AUTHORITY To: NOTIFICATION OF TRANSMITTAL OF KILBURN & STRODE THE INTERNATIONAL SEARCH REPORT Attn. MAGGS, Michael OR THE DECLARATION 20 Red Lion Street London WC1R 4PJ (PCT Rule 44.1) UNITED KINGDOM Date of mailing (day/month/year) 25/09/2000 Applicant's or agent's file reference FOR FURTHER ACTION See paragraphs 1 and 4 below MNM/P30501W0 International application No. International filing date (day/month/year) 21/06/2000 PCT/GB 00/02390 Applicant GRASEBY DYNAMICS LIMITED et al. 1. X The applicant is hereby notified that the International Search Report has been established and is transmitted herewith. Filing of amendments and statement under Article 19: The applicant is entitled, if he so wishes, to amend the claims of the International Application (see Rule 46): The time limit for filing such amendments is normally 2 months from the date of transmittal of the International Search Report; however, for more details, see the notes on the accompanying sheet. Where? Directly to the International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Fascimile No.: (41-22) 740.14.35 For more detailed instructions, see the notes on the accompanying sheet. The applicant is hereby notified that no International Search Report will be established and that the declaration under Article 17(2)(a) to that effect is transmitted herewith. With regard to the protest against payment of (an) additional fee(s) under Rule 40.2, the applicant is notified that: the protest together with the decision thereon has been transmitted to the International Bureau together with the applicant's request to forward the texts of both the protest and the decision thereon to the designated Offices. no decision has been made yet on the protest; the applicant will be notified as soon as a decision is made. 4. Further action(s): The applicant is reminded of the following: Shortly after 18 months from the priority date, the international application will be published by the International Bureau. If the applicant wishes to avoid or postpone publication, a notice of withdrawal of the international application, or of the priority claim, must reach the International Bureau as provided in Rules 90bis.1 and 90bis.3, respectively, before the completion of the technical preparations for international publication. Within 19 months from the priority date, a demand for international preliminary examination must be filed if the applicant wishes to postpone the entry into the national phase until 30 months from the priority date (in some Offices even later). Within 20 m nths from the priority date, the applicant must p from th prescribed acts for entry into th national phase before all designated Offices which hav not been lected in the demand or in a later election within 19 months from the priority date or could not be elected because they are not bound by Chapter II.



### NOTES TO FORM PCT/ISA/220

These Notes are intended to give the basic instructions concerning the filing of amendments under article 19. The Notes are based on the requirements of the Patent Cooperation Treaty, the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and those requirements, the latter are applicable. For more detailed information, see also the PCT Applicant's Guide, a publication of WIPO.

In these Notes, "Article", "Rule", and "Section" refer to the provisions of the PCT, the PCT Regulations and the PCT Administrative Instructions respectively.

### **INSTRUCTIONS CONCERNING AMENDMENTS UNDER ARTICLE 19**

The applicant has, after having received the international search report, one opportunity to amend the claims of the international application. It should however be emphasized that, since all parts of the international application (claims, description and drawings) may be amended during the international preliminary examination procedure, there is usually no need to file amendments of the claims under Article 19 except where, e.g. the applicant wants the latter to be published for the purposes of provisional protection or has another reason for amending the claims before international polication. Furthermore, it should be emphasized that provisional protection is available in some States only.

### What parts of the international application may be amended?

Under Article 19, only the claims may be amended.

During the international phase, the claims may also be amended (or further amended) under Article 34 before the International Preliminary Examining Authority. The description and drawings may only be amended under Article 34 before the International Examining Authority.

Upon entry into the national phase, all parts of the international application may be amended under Article 28 or, where applicable, Article 41.

### When?

Within 2 months from the date of transmittal of the international search report or 16 months from the priority date, whichever time limit expires later. It should be noted, however, that the amendments will be considered as having been received on time if they are received by the International Bureau after the expiration of the applicable time limit but before the completion of the technical preparations for international publication (Rule 46.1).

### Where not to file the amendments?

The amendments may only be filed with the International Bureau and not with the receiving Office or the International Searching Authority (Rule 46.2).

Where a demand for international preliminary examination has been its filed, see below.

### How?

Either by cancelling one or more entire claims, by adding one or more new claims or by amending the text of one or more of the claims as filed.

A replacement sheet must be submitted for each sheet of the claims which, on account of an amendment or amendments, differs from the sheet originally filed.

All the claims appearing on a replacement sheet must be numbered in Arabic numerals. Where a claim is a cancelled, no renumbering of the other claims is required. In all cases where claims are renumbered, they must be renumbered consecutively (Administrative Instructions, Section 205(b)).

The amendments must be made in the language in which the international application is to be published.

### What documents must/may accompany the amendments?

Letter (Section 205(b)):

The amendments must be submitted with a letter.

The letter will not be published with the international application and the amended claims. It should not be confused with the "Statement under Article 19(1)" (see below, under "Statement under Article 19(1)").

The letter must be in English or French, at the choice of the applicant. However, if the language of the international application is English, the letter must be in English; if the language of the international application is French, the letter must be in French.

### NOTES TO FORM PCT/ISA/220 (continued)

The letter must indicate the differences between the claims as filed and the claims as amended. It must, in particular, indicate, in connection with each claim appearing in the international application (it being understood that identical indications concerning several claims may be grouped), whether

- the claim is unchanged:
- the claim is cancelled; (ii)
- (iii) the claim is new;
- (iv) the claim replaces one or more claims as filed;
- the claim is the result of the division of a claim as filed.

### The following examples illustrate the manner in which amendments must be explained in the accompanying letter:

- [Where originally there were 48 claims and after amendment of some claims there are 51]: Claims 1 to 29, 31, 32, 34, 35, 37 to 48 replaced by amended claims bearing the same numbers; claims 30, 33 and 36 unchanged; new claims 49 to 51 added."
- [Where originally there were 15 claims and after amendment of all claims there are 11]: 'Claims 1 to 15 replaced by amended claims 1 to 11."
- [Where originally there were 14 claims and the amendments consist in cancelling some claims and in adding new claims]: "Claims <u>1</u> to 6 and 14 unchanged; claims 7 to 13 cancelled; new claims 15, 16 and 17 added." or
  - "Claims 7 to 13 cancelled; new claims 15, 16 and 17 added; all other claims unchanged."
- [Where various kinds of amendments are made]: Claims 1-10 unchanged; claims 11 to 13, 18 and 19 cancelled; claims 14, 15 and 16 replaced by amended claim 14; claim 17 subdivided into amended claims 15, 16 and 17; new claims 20 and 21 added.

### "Statement under article 19(1)" (Rule 46.4)

The amendments may be accompanied by a statement explaining the amendments and indicating any impact that such amendments might have on the description and the drawings (which cannot be amended under Article 19(1)).

The statement will be published with the international application and the amended claims.

### It must be in the language in which the international appplication is to be published.

It must be brief, not exceeding 500 words if in English or if translated into English.

It should not be confused with and does not replace the letter indicating the differences between the claims as filed and as amended. It must be filed on a separate sheet and must be identified as such by a heading, preferably by using the words "Statement under Article 19(1)."

It may not contain any disparaging comments on the international search report or the relevance of citations contained in that report. Reference to citations, relevant to a given claim, contained in the international search report may be made only in connection with an amendment of that claim.

### Consequence if a demand for international preliminary examination has already been filed

If, at the time of filing any amendments under Article 19, a demand for international preliminary examination has already been submitted, the applicant must preferably, at the same time of filing the amendments with the International Bureau, also file a copy of such amendments with the International Preliminary Examining Authority (see Rule 62.2(a), first sentence).

### Consequence with regard to translation of the international application for entry into the national phase

The applicant's attention is drawn to the fact that, where upon entry into the national phase, a translation of the claims as amended under Article 19 may have to be furnished to the designated/elected Offices, instead of, or in addition to, the translation of the claims as filed.

For further details on the requirements of each designated/elected Office, see Volume II of the PCT Applicant's

# PATENT COOPERATION TREATY

# PCT

## **INTERNATIONAL SEARCH REPORT**

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference MNM/P30501W0		of Transmittal of International Search Report 20) as well as, where applicable, item 5 below.
International application No.	International filing date (day/month/year)	(Earliest) Priority Date (day/month/year)
PCT/GB 00/02390	21/06/2000	23/06/1999
Applicant	·	
GRASEBY DYNAMICS LIMITED	et al.	
This International Search Report has been according to Article 18. A copy is being tra	n prepared by this International Searching Aut ansmitted to the International Bureau.	nority and is transmitted to the applicant
This International Search Report consists  [X] It is also accompanied by	of a total of sheets. a copy of each prior art document cited in this	report.
1. Basis of the report	-	
	international search was carried out on the bases otherwise indicated under this item.	sis of the international application in the
the international search w Authority (Rule 23.1(b)).	as carried out on the basis of a translation of the	he international application furnished to this
was carried out on the basis of the	e sequence listing:	temational application, the international search
말	onal application in written form.	_
	mational application in computer readable form	n.
	this Authority in written form.	
the statement that the sub	o this Authority in computer readble form.  Description of the computer readble form.	oes not go beyond the disclosure in the
	is filed has been fumished. Ormation recorded in computer readable form is	s identical to the written sequence listing has beer
2. Certain claims were fou	nd unsearchable (See Box I).	
3. Unity of Invention is lac	, ,	
		:
4. With regard to the <b>title</b> ,		
the text is approved as su	* ',	·
ION MOBILITY SPECTROM	shed by this Authority to read as follows: ETER	•
<ol><li>With regard to the abstract,</li></ol>		
the text is approved as su	* **	
	shed, according to Rule 38.2(b), by this Authori e date of mailing of this international search rep	
6. The figure of the drawlings to be pub	lished with the abstract is Figure No.	2
as suggested by th appl	icant.	Non of the figures.
because the applicant fail	led to suggest a figure.	
because this figure better	characterizes the invention.	
Form PCT/ISA/210 (first-sheet) (July 1998) ··		

emational application No.

PCT/GB 00/02390

Box III TEXT OF THE ABSTRACT (Continuation of Item 5 of the first sheet)

An ion mobility spectrometer system comprising: an ion mobility detector; a gas/vapour circulating system for the ion mobility detector into which samples of gases and vapours of interest may be drawn for detection by the ion mobility spectrometer; the circulating system comprising an ion mobility cell (12), means for drying and/or cleaning (20,22) the circulating gases/vapours in the circulating system, a dopant source (20,22), and means for causing circulation (10) of the gases/vapours within the circulating system; in which the dopant source and the means for drying and/or cleaning the circulating gases/vapours are combined (20,22), whereby the need for a physically separate dopant source for the system is obviated. The dopant source material may be combined with the material for drying and or cleaning the circulating gases/vapours.

In tional Application No Pc1/GB 00/02390

A. CLASSIFIC	CATION OF SUBJECT MATTER G01N27/62
170 /	UU1N2// UZ

According to International Patent Classification (IPC) or to both national classification and IPC

### B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols) IPC 7 - G01N - B01D - B01J - H01J

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, INSPEC

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to daim No.
X	HARDEN C S ET AL: "RELATIVE PERFORMANCE CHARACTERISTICS OF HAND-HELD ION MOBILITY SPECTROMETERS - THE CHEMICAL AGENT MONITOR AND A NEW MINIATURE IMS INSTRUMENT" PROCEEDINGS OF THE ERDEC CONFERENCE ON CHEMICAL AND BIOLOGICAL DEFENSE, XX, XX, July 1996 (1996-07), pages 217-223, XP002916069	1,3
Υ .	page 218, paragraphs 2,3; figure 1A	10
X	EP 0 219 602 A (ALLIED CORP) 29 April 1987 (1987-04-29) cited in the application	1,11
Υ	column 2, line 40-51 column 7, line 2-30; figure 1	10
	-/	

Further documents are listed in the continuation of box C.	Patent family members are listed in annex.
Special categories of cited documents:  A document defining the general state of the art which is not considered to be of particular relevance.  E earlier document but published on or after the international filing date.  L document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified).  O document referring to an oral disclosure, use, exhibition or other means.  P document published prior to the international filing date but later than the priority date claimed.	<ul> <li>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</li> <li>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</li> <li>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</li> <li>"&amp;" document member of the same patent family</li> </ul>
Date of the actual completion of the international search  13 September 2000	Date of mailing of the International search report  25/09/2000
Name and mailing address of the ISA  European Patent Office, P.B. 5818 Patentlaan 2  NL – 2280 HV Rijswijk  Tel. (+31-70) 340-2040, Tx. 31 651 epo nf,  Fax: (+31-70) 340-3016	Authorized officer  Meyer, F

Internal Application No
PU I/GB 00/02390

Category *	Citation of document, with Indication, where appropriate, of the relevant passages	Relevant to daim No.
x	EP 0 135 747 A (BENDIX CORP)	1 2 4
	3 April 1985 (1985-04-03)	1,3,4
Y	page 12, line 22 -page 15, line 6; figure 4	10
Υ.	WO 96 37773 A (GRASEBY DYNAMICS LTD; TAYLOR STEPHEN JOHN (GB); TURNER ROBERT BRIA) 28 November 1996 (1996-11-28)	10
۹ ا	page 3, line 30 -page 5, line 7; figure 1	1,3,5
4	WO 93 06476 A (GRASEBY DYNAMICS LTD) 1 April 1993 (1993-04-01) page 3, line 16-30 page 5, line 3-8; claims 1,5	1,3
۱ .	WO 97 28444 A (GRASEBY DYNAMICS LTD; TURNER ROBERT BRIAN (GB); TAYLOR STEPHEN JOH) 7 August 1997 (1997-08-07) page 5, line 5-12 page 8, line 13-23; claims 2,5,6; figure 1A	1,4
	FR 2 331 791 A (VEILLARD CAMILLE) 10 June 1977 (1977-06-10) page 1, line 25,26 page 2, line 9-13	1
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	Tananan ara-	

Information on patent family members

Pui/GB 00/02390

,	document search report		Publication dat		Patent family member(s)		Publication date
EP 02	19602	Α	29-04-1987	JP	62034041	Α	14-02-1987
EP 01	357 <b>4</b> 7	A	03-04-1985	US	4551624	Α	05-11-1985
				DE	3485754	Α	09-07-1992
				DE	3485754	T	14-01-1993
WO 96	37773	Α	28-11-1996	CA	2221831	Α	28-11-199 <i>6</i>
				EP	0868663	Α	07-10-1998
				GB	2316490	A,B	25-02-1998
				JP	11505925		25-05-1999
				US	5952652	Α	14-09-1999
WO 93	06476	Α	01-04-1993	CA	2116547	A	01-04-1993
				DE	69223154	D	18-12-1997
				DE	69223154	T	14-05-1998
				EP	0604528	Α	06-07-1994
				JP	6511104	T	08-12-1994
				US	5475217	Α	12-12-1995
WO 97	28444	Α	07-08-1997	CA	2245512	A	07-08-1997
				EP	0877934		18-11-1998
				GB	2324875		04-11-1998
				JP	2000504111	T	04-04-2000
FR 23	31791	A	 10-06-1977	NO	 NE		



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20 Red Lion Street London WC1R 4PJ

Tel: +44 (0)20-7539 4200 Fax: +44 (0)20-7539 4299 Email: ks@kstrode.co.uk

European Patent Office Erhardtstrasse 27 D-80298 München Germany

Attn: International Preliminary Examining Authority

Our Ref:

P30501WO/MNM

Your Ref:

25 April 2001

Dear Sirs

International (PCT) Patent Application No. PCT/GB00/02390 In the name of Graseby Dynamics Limited

We are writing in reply to the PCT "Notification of receipt of Demand" dated 6 February 2001.

With that notification, you attached a corrected page 1 of the form IPEA 401 on which the name and address of the applicant/inventor Robert Brian Turner had been added ex officio.

We are writing to point out that Mr Turner's second forename is Brian and not "Brain". We attach a further copy of page 1 of the form with the correction marked in ink.

Yours faithfully

M N Maggs
Authorised Representative
Kilburn & Strode
Enc:

The demand must be filed directly wil	th the competent International Preliminary Examining Authority or, if two or more Authorities are competen
with the one chosen by the applicant	The full name or two-letter code of that Authority may be indicated by the applicant on the line below.

IPEA.	
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### **DEMAND**

**EPO - DG 1** 

under Article 31 of the Patent Cooperation Treaty:

The undersigned requests that the international application specified below be the subject of international preliminary examination according to the Patent Cooperation Treaty and hereby elects all eligible States (except where otherwise indicated). 28. 12. 2000

F	International Preliminary	Evenining Authoriti	use only -	12	mus	
Por	International Freniminary	Landining Aumonty	use only			90)
Identification of IPEA IPEA / EP		Date of receipt of DI	EMAND	28-12-2	2000	
Box No. I IDENTIFICATION OF T	HE INTERNATIONAL	APPLICATION		's or agent's fi 30501WO	le referen	ce
International application No	International filing date	(day/month/year)	(Earliest)	Priority date (	day/montl	vyear)
PCT/GB00/02390	21 June 2000		23 June	1999		
Title of invention						
ION MOBILITY SPECTROMETER	RS	,	·			
Box No. II APPLICANT(S)					•	
Name and address: (Family name followed by The address must include p	given name, for a legal entity, jostal code and name of country	full official designation	Telephone	No ·		
Graseby Dynamics Limited Park Avenue Bushey		:	Facsimile ?	Vo.		
Watford Hertfordshire WD2 2BW GB			Teleprinter	No .		
State (that is, country) of nationality		State (that is, count	ער) of reside	nce	٠	
GB		GB			<del> </del>	
Name and address (Family name followed by s James Andrew BREACH 34 Brighton Road Watford WD2 5HW Hertfordshire GB	grven name, for a legal entity, fi	ill official designation The	address must v	nctude postal code	and name (	of country)
State (that is, country) of nationality		State (that is, count	ry) of reside	nce		
GB .		GB			<del>,</del>	
Name and address (Family name followed by the TURNER, Robert Brain 163 Chartridge Lane Chesham Buckinghamshire HP5 25	Brian	ill official designation The	address must t	nchide postal code	e and name	of country)
State (that is, country) of nationality	В	State (that is, country	y) of residen	GB		
Further applicants are indicated on	a continuation sheet					

Form PCT/IPEA/401 (first sheet) (July 1998; reprint July 2000)

\* corrected ex officio

See letter & EPO grt Prelin Exam Aull

25.4.01

Sheet No. 2	International application No.
Sheet No	PCT/GB00/02390
Box No. III AGENT OR COMMON REPRESENTATIVE; OR ADDRESS FOR COL	RRESPONDENCE
The following person is agent common representative	
and has been appointed earlier and represents the applicant(s) also for international pre	liminary examination.
is hereby appointed and any earlier appointment of (an) agent(s)/common represen	stative is hereby revoked.
is hereby appointed, specifically for the procedure before the International Prelimithe agent(s)/common representative appointed earlier.	nary Examining Authority, in addition to
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)	Telephone No.:
The dadress must include postal code and name of country.)	020 7539 4200
	Facsimile No.:
Kilburn & Strode	020 7539 4299
20 Red Lion Street London WC1R 4PJ	
United Kingdom	Teleprinter No.:
Address for correspondence: Mark this check-box where no agent or common respace above is used instead to indicate a special addr ess to which correspondence	e should be sent.
Box No. IV BASIS FOR INTERNATIONAL PRELIMINARY EXAMINATION	
Statement concerning amendments:*	•
1. The applicant wishes the international preliminary examination to start on the basis of:	
the international application as originally filed	
the description as originally filed	·
as amended under Article 34	
the claims as originally filed	
as amended under Article 19 (together with any accompanying	g statement)
as amended under Article 34	
the drawings x as originally filed	
as amended under Article 34	
2. The applicant wishes any amendment to the claims under Article 19 to be consider	ered as reversed.
3. The applicant wishes the start of the international preliminary examination to be prefrom the priority date unless the International Preliminary Examining Authority under Article 19 or a notice from the applicant that he does not wish to make such box may be marked only where the time limit under Article 19 has not yet expired	receives a copy of any amendments made amendments (Rule 69.1(d)). (This check-
* Where no check-box is marked, international preliminary examination will start on as originally filed or, where a copy of amendments to the claims under Article 19 and/or a under Article 34 are received by the International Preliminary Examining Authority befor or the international preliminary examination report, as so amended.	mendments of the international application
Language for the purposes of international preliminary examination: English	
which is the language in which the international application was filed.	
which is the language of a translation furnished for the purposes of internation	onal search.
which is the language of publication of the international application.	
which is the language of the translation (to be) furnished for the purposes of	international preliminary examination.
Box No. V ELECTION OF STATES	
The applicant hereby elects all eligible States (that is, all States which have been designathe PCT)	ted and which are bound by Chapter II of
excluding the following States which the applicant wishes not to elect:	

·	Sheet No	3	International appl PCT/G	SB00/02390
No. VI CHECK LIST			· · · · · · · · · · · · · · · · · · ·	
The demand is accompanied by the following elem Box No. IV, for the purposes of international pre				onal Preliminary uthority use only not received
1. translation of international application	: <b>0</b>	sheets		
2. amendments under Article 34	: <b>0</b>	sheets		
<ol> <li>copy (or, where required, translation) of amendments under Article 19</li> </ol>	: 0	sheets		
<ol> <li>copy (or, where required, translation) of statement under Article 19</li> </ol>	: <b>0</b>	sheets		
5. letter	: 1	sheets		
6. other (specify)	: 0	- sheets		
copy of general power of attorney; reference number, if any:	GENT OR CC	6. other (speci		
ichael Norman MAGGS uthorised Representative	and the capacity in w	which the person signs (if suc	ch capacity is not obvious	from reading the deman
				1
	nal Preliminary E	Examining Authority (	ise only	
Date of actual receipt of DEMAND:	<del></del>			
2. Adjusted date of receipt of demand due				

5. Although the date of receipt of the demand is after the expiration of 19 months from the priority date, the delay in arrival is EXCUSED pursuant to Rule 82.

For International Bureau use only

Demand received from IPEA on:

The date of receipt of the demand is WITHIN the period of 19 months from the priority date as extended by virtue of

informed accordingly.

Rule 80.5.

from the priority date and item 4 or 5, below, does not apply.

## PATENT COOPERATION TREATY

INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY K+S Received **KILBURN & STRODE** 20 JUN 2001 20 Red Lion Street NOTIFICATION OF TRANSMITTAL OF London WC1R 4PJ THE INTERNATIONAL PRELIMINARY **GRANDE BRETAGNE EXAMINATION REPORT** (PCT Rule 71.1) Date of mailing (day/month/year) 18.06.2001 Applicant's or agent's file reference MNM/P30501WO IMPORTANT NOTIFICATION International application No. International filing date (day/month/year) Priority date (day/month/year) 21/06/2000 PCT/GB00/02390 23/06/1999 Applicant

- 1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary examination report and its annexes, if any, established on the international application.
- 2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
- 3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.

### 4. REMINDER

GRASEBY DYNAMICS LIMITED et al.

From the

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices) (Article 39(1)) (see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

Name and mailing address of the IPEA/

Authorized officer

European Patent Office D-80298 Munich

Conner, M

-Tel. +49 89 2399 - 0-Tx: 523656 epmu d-Fax: +49 89 2399 - 4465

Tel.+49 89 2399-2241



# PATENT COOPERATION TREATY

# **PCT**

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

MNM/P3		See N	otification of Transmittal of International
	30501WO	FOR FURTHER ACTION Prelim	nary Examination Report (Form PCT/IPEA/416)
	al application No.	International filing date (day/month/year)	Priority date (day/month/year)
PCT/GB	00/02390	21/06/2000	23/06/1999
Internation G01N27		national classification and IPC	
A P			
Applicant	3\/ 5\/\\\		
GRASE	BY DYNAMICS LIMITED	et al.	
1. This	international preliminary exa	amination report has been prepared by this	International Preliminary Examining Authorit
and i	s transmitted to the applicar	nt according to Article 36.	,
2. This	REPORT consists of a total	of 6 sheets, including this cover sheet.	
<b></b>	his report is also accompar	sied by AAINEVEC in the state of the state of	
L b	een amended and are the t	nied by ANNEXES, i.e. sheets of the descrip pasis for this report and/or sheets containing	otion, claims and/or drawings which have
(	see Rule 70.16 and Section	607 of the Administrative Instructions under	or the PCT).
These	e annexes consist of a total	of sheets	
	annexes sometime of a total	or sheets.	
		•	
3. This	eport contains indications re	elating to the following items:	
		elating to the following items:	
1	Basis of the report	elating to the following items:	
. 1	Basis of the report □ Priority		
1 11	<ul><li>☑ Basis of the report</li><li>☐ Priority</li><li>☐ Non-establishment o</li></ul>	f opinion with regard to novelty, inventive st	ep and industrial applicability
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IIIIIVVVIIII	<ul> <li>Basis of the report</li> <li>□ Priority</li> <li>□ Non-establishment o</li> <li>□ Lack of unity of inver</li> <li>☒ Reasoned statement citations and explana</li> <li>□ Certain documents o</li> <li>☒ Certain defects in the</li> <li>☒ Certain observations</li> </ul>	f opinion with regard to novelty, inventive station under Article 35(2) with regard to novelty, intions suporting such statement cited international application on the international application	nventive step or industrial applicability;
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IIIIIV V VI VIII Date of sub	Basis of the report Priority Non-establishment o Lack of unity of inver Reasoned statement citations and explana Certain documents o Certain defects in the Certain observations	f opinion with regard to novelty, inventive station under Article 35(2) with regard to novelty, intions suporting such statement sited international application on the international application  Date of completion 18.06.2001	nventive step or industrial applicability;
IIIIIV V VI VIII Date of sub	Basis of the report Priority Non-establishment o Lack of unity of inver Reasoned statement citations and explana Certain documents o Certain defects in the Certain observations mission of the demand	f opinion with regard to novelty, inventive station under Article 35(2) with regard to novelty, intions suporting such statement sited international application on the international application  Date of completion  18.06.2001	nventive step or industrial applicability;

# INTERNATIONAL PRELIMINARY **EXAMINATION REPORT**

International application No. PCT/GB00/0239

_									
	_								
		sis of the report							
<ol> <li>With regard to the elements of the international application (Replacement sheets which have been furnished the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17)): Description, pages:</li> </ol>									
	1-5	as originally filed							
	Cla	ims, No.:							
	1-1	as originally filed							
	Drawings, sheets:								
	1/1	as originally filed							
2.	Wit lang	With regard to the <b>language</b> , all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.							
	The	se elements were available or furnished to this Authority in the following language: , which is:							
		the language of a translation furnished for the purposes of the international search (under Rule 23.1(b)).							
		the language of publication of the international application (under Rule 48.3(b)).							
		the language of a translation furnished for the purposes of international preliminary examination (under Rule 55.2 and/or 55.3).							
3.	Witl inte	n regard to any <b>nucleotide and/or amino acid sequence</b> disclosed in the international application, the rnational preliminary examination was carried out on the basis of the sequence listing:							
		contained in the international application in written form.							
		filed together with the international application in computer readable form.							
		furnished subsequently to this Authority in written form.							
		furnished subsequently to this Authority in computer readable form.							
		The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.							
The statement that the information recorded in computer readable form is identical to the writte listing has been furnished.									
1.	The	he amendments have resulted in the cancellation of:							
		the description, pages:							

☐ the claims,

pages:

Nos.:

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/GB00/0239

	☐ the drawings, s	heets:							
5.	5.  This report has been established as if (some of) the amendments had not been made, since they have bee considered to go beyond the disclosure as filed (Rule 70.2(c)):								
(Any replacement sheet containing such amendments must be referred to under item 1 and annexed t report.)									
6.	6. Additional observations, if necessary:								
٧.	V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement								
. 1.	Statement								
	Novelty (N)	Yes: No:		2,5-10,12,13 1,3,4,11					
	Inventive step (IS)	Yes: No:		2,5-9,12,13 1,3,4,10,11					
	Industrial applicability (IA)	Yes: No:	Claims Claims	1-13					
2.	Citations and explanations see separate sheet								

## VII. Certain defects in the international application

The following defects in the form or contents of the international application have been noted: see separate sheet

## VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made: see separate sheet

1. Reference is made to the following documents:

D1: C.S. Harden *et al.*, Proceedings of the ERDEC Conference on Chemical and Biological Defence (1996) 217-223

D2: EP 0 219 602 A2 D3: EP 0 135 747 A2 D4: WO 96/37773

### Re Item V

- 2. The present application does not meet the requirements of Article 33(1) PCT, because, as far as it can be understood (see paragraph 4.1. below), the subject-matter of claims 1, 3, 4 and 11 is not new in the sense of Article 33(2) PCT and the subject-matter of claim 10 does not involve an inventive step in the sense of Article 33(3) PCT.
- 2.1. D1 discloses an ion mobility spectrometer system (see Fig.1A) comprising: an ion mobility detector (p.218 §3 l.6: "reaction and drift regions"; "Faraday plate" in Fig.1A); a gas/vapour circulating system (p.218 §3 l.6: "carrier and drift gases are continuously recirculated") for the ion mobility detector into which samples of gases and vapours of interest may be drawn for detection (p.218 §3 l.2); the circulating system comprising an ion mobility cell, means for drying and/or cleaning the circulating gases/vapours in the circulating system ("scrubber" in Fig.1A), a dopant source ("dopant" in Fig.1A), and means for causing circulation of the gases/vapours within the circulating system ("pump" in Fig.1A); in which the dopant source and the means for drying and/or cleaning the circulating gases/vapours are applied together in the ion mobility spectrometer system (see Fig.1A; see also paragraph 4.1. below). Consequently, D1 appears to disclose all features of claim 1.

Similarly, D2 (see Fig.1, col.2 I.40-51, col.7 I.2-30) and D3 (see Fig.4, p.12 I.25-28, p.14 I.24, p.15 I.3) disclose all features of **claim 1**.

Claim 1 hence is not considered to be new (Article 33(2) PCT).

2.2. The features of dependent claims 3, 4 and 11 are also disclosed in D1, D2 and/or D3:

**EXAMINATION REPORT - SEPARATE SHEET** 

- claim 3: D1: p.218 §3 l.6-7; D3: p.14 l.29-31;

- claim 4: D3: p.15 l.1-3;

- claim 11: D2: col.7 l.28;

2.3. The additional feature of claim 10 has already been disclosed in D4 (see p.4 §5 I.1-2), which document also describes an ion mobility spectrometer system comprising a molecular sieve as means for drying the circulating gases/vapours (see p.1 l.3-4).

The subject-matter of claim 10 hence does not meet the requirements of the PCT with respect to inventive step (Article 33(3) PCT).

2.4. The additional features of dependent claims 2, 5-9, 12 and 13 are neither disclosed nor hinted at in any of the available prior art documents. The subjectmatter of these claims hence satisfies the requirements of Article 33(1) PCT.

## Re Item VII

- 3.1. The features of the claims should have been provided with reference numerals placed in parentheses to increase the intelligibility of the claims (Rule 6.2(b) PCT).
- 3.2. On page 3, between lines 3 and 4, a paragraph should have been added briefly describing the figures in the drawings (Rule 5.1(a)(iv) PCT).
- 3.3. In order to make clear that Fig.1 shows a known IMS system, the expression "prior art" should have been added to Fig.1.

### Re Item VIII

4. The application does not meet the requirements of Article 6 PCT, because some of the claims are not clear (see paragraphs 4.1. and 4.2. below) and because inconsistencies exist between the claims and the description (see paragraphs 4.3. and 4.4. below).

- 4.1. The feature in **claim 1** "in which the dopant source and the means for drying and /or cleaning ... are <u>combined</u>" is vague and indefinite, thereby rendering the definition of the subject-matter of said claim unclear (Article 6 PCT). It is not at all clear in which way these two elements are "combined". The phrase could be interpreted as to said two elements being mounted in one casing or even as to said two elements being applied together in an IMS system.
- 4.2. The features in claim 2 "the dopant source material" and "the material for drying and/or cleaning" lack antecedence.
  The same applies to the features "the dopant material" (claims 5, 9, 11, 12 and 13), "the molecular sieve material" (claims 5, 9, 10, 12 and 13) and "the combined dopant and molecular sieve material" (claim 9).
- 4.3. Claim 1 is not supported by the description (Article 6 PCT), in that its subject-matter is broader than is described in the respective part of the description (p.1 l.20-23).
- 4.4. The feature combinations as set out on p.1 l.24 p.2 l.3 of the description have not been claimed. This passage should hence have been deleted.

# **REQUEST**

The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty.

For receiving Office us	e only				
International Application No.	5006				
International Filing Date	10/3				
	, in the second				
Name of receiving Office and "PCT International Application"					

		1 0 1 2o							
·	Applicant's or agent's file reference (if desired) (12 characters maximum) MNM/P30501WO								
Box No. I TITLE OF INVENTION									
ION MOBILITY SPECTROMETERS									
Box No. II APPLICANT									
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State  This person is also inventor. of residence is indicated below.)									
Graseby Dynamics Limited Park Avenue		Telephone No.							
Bushey		Facsimile No.							
Watford									
Hertfordshire WD2 2BW GB		Teleprinter No.							
State (that is, country) of nationality:  GB	State (that is, country) of	residence:							
This person is applicant for the purposes of:  all designated		United States America only the States indicated in the Supplemental Box							
Box No. III FURTHER APPLICANT(S) AND/OR (FURT	HER) INVENTOR(S)								
Name and address: (Family name followed by given name; for a designation. The address must include postal code and name of cou address indicated in this Box is the applicant's State (that is, country of residence is indicated below.)	legal entity, full official ntry. The country of the of residence if no State	This person is:							
James Andrew BREACH		applicant only							
34 Brighton Road		applicant and inventor							
Watford WD2 5HW		inventor only (Makinghout bur							
Hertfordshire GB		inventor only (If this check-box is marked, do not fill in below.)							
State (that is, country) of nationality:  GB	State (that is, country) of	residence: GB							
This person is applicant all designated all designated for the purposes of:		United States the States indicated in the Supplemental Box							
Further applicants and/or (further) inventors are indicated o	n a continuation sheet.								
Box No. IV AGENT OR COMMON REPRESENTATIVE		ORRESPONDENCE							
The person identified below is hereby/has been appointed to act on behalf of the applicant(s) before the competent International Authorities as:									
Name and address: (Family name followed by given name; for a designation. The address must include postal co	legal entity, full official	Telephone No.							
Michael N MAGGS	020 7539 4200								
Kilburn & Strode	Facsimile No.								
20 Red Lion Street		020 7539 4299							
London WC1R 4PJ United Kingdom		Teleprinter No.							
		·							
Address for correspondence: Mark this check-box where n space above is used instead to indicate a special address to w	o agent or common represe	entative is/has been appointed and the							
DOT TO USE A SECOND SEC		to or sells.							

		Sheet No	<b>2</b>	<del></del>				
Continuation of Box No.	Ш FURTHER A	PPLICANT(S) A	ND/OR (FUR)	THER) IN	VENTOR(S	)		
If none of the following sub-boxes is used, this sheet should not be included in the request.								
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)  Robert Brian TURNER  163 Chartridge Lane Chesham Buckinghamshire HP5 2SE GB						This person is:  applicant only  applicant and inventor  inventor only (If this check-box is marked, do not fill in below.)		
State (that is, country) of nat	ionality: GB		State (that is, c	country) of r	esidence: GB			
This person is applicant for the purposes of:	all designated States	all designated the United Sta	States except ites of America	the of A	United States merica only	the States indicated in the Supplemental Box		
Name and address: (Family designation. The address m address indicated in this Box of residence is indicated beli	name followed by gi ust include postal cod is the applicant's Sta ow.)	iven name; for a le le and name of cour ste (that is, country)	egal entity, full of try. The country of residence if n	official of the oState	applic	n is: cant only cant and inventor tor only (If this check-box ked, do not fill in below.)		
State (that is, country) of nati	onality:		State (that is, c	ountry) of re	esidence:			
This person is applicant for the purposes of:	all designated States	all designated the United Stat	States except es of America	the U	Inited States	the States indicated in the Supplemental Box		
Name and address: (Family designation. The address mu address indicated in this Box of residence is indicated below		ven name; for a le e and name of coun te (that is, country)	gal entity, full of try. The country of residence if no	fficial of the State	applic	n is: cant only cant and inventor tor only (If this check-box ked, do not fill in below.)		
State (that is, country) of nation	onality:		State (that is, co	ountry) of re	sidence:	•		
This person is applicant	C all designated	المحالم الم						
for the purposes of:	all designated States	all designated the United State	es of America	of Aı	Inited States nerica only	the States indicated in the Supplemental Box		
Name and address: (Family idesignation. The address mu address indicated in this Box of residence is indicated below	si inciuae postai coae is the applicant's Stat				applic	n is:  ant only  ant and inventor  tor only (If this check-box ked, do not fill in below.)		
State (that is, country) of natio	onality:		State (that is, co	untry) of res	sidence:			
This person is applicant for the purposes of:	all designated States	all designated the United Stat	States except tes of America		Jnited States merica only	the States indicated in the Supplemental Box		
Further applicants and	or (further) invento							
orm PCT/RO/101 (continuation sheet) (July 1998; reprint January 2000)  See Notes to the request form								



### **DESIGNATION OF STATES** Box No.V The following designations are hereby made under Rule 4.9(a) (mark the applicable check-boxes; at least one must be marked): AP ARIPO Patent: GH Ghana, GM Gambia, KE Kenya, LS Lesotho, MW Malawi, SD Sudan, SL Sierra Leone, SZ Swaziland, TZ United Republic of Tanzania, UG Uganda, ZW Zimbabwe, and any other State which is a Contracting State of the Harare Protocol and of the PCT EA Eurasian Patent: AM Armenia, AZ Azerbaijan, BY Belarus, KG Kyrgyzstan, KZ Kazakhstan, MD Republic of Moldova, RU Russian Federation, TJ Tajikistan, TM Turkmenistan, and any other State which is a Contracting State of the Eurasian Patent Convention and of the PCT European Patent: AT Austria, BE Belgium, CH and LI Switzerland and Liechtenstein, CY Cyprus, DE Germany, DK Denmark, ES Spain, FI Finland, FR France, GB United Kingdom, GR Greece, IE Ireland, IT Italy, LU Luxembourg, MC Monaco, NL Netherlands, PT Portugal, SE Sweden, and any other State which is a Contracting State of the European Patent Convention and of the PCT X EP OAPI Patent: BF Burkina Faso, BJ Benin, CF Central African Republic, CG Congo, CI Côte d'Ivoire, CM Cameroon, GA Gabon, GN Guinea, GW Guinea-Bissau, ML Mali, MR Mauritania, NE Niger, SN Senegal, TD Chad, TG Togo, and any other State which is a member State of OAPI and a Contracting State of the PCT (if other kind of protection or treatment desired, **⋈** OA specify on dotted line) National Patent (if other kind of protection or treatment desired, specify on dotted line): AE United Arab Emirates LR Liberia X AL Albania ...... X AM Armenia ..... X LT Lithuania X AT Austria ...... LU Luxembourg X LV Latvia X AZ Azerbaijan BA Bosnia and Herzegovina ..... MD Republic of Moldova ..... **▼ BB** Barbados MG Madagascar ..... BG Bulgaria ..... MK The former Yugoslav Republic of Macedonia **▼ BR** Brazil ..... MN Mongolia X CA Canada MW Malawi CH and LI Switzerland and Liechtenstein MX Mexico CN China ..... NO Norway CR Costa Rica NZ New Zealand ..... CU Cuba ..... ₩ PL Poland ..... **⋈** PT Portugal RO Romania RU Russian Federation ..... ▼ DM Dominica Sudan EE Estonia ▼ SE Sweden ES Spain ..... **⊠** SG Singapore ⊠ SI Slovenia ..... ☑ GB United Kingdom Slovakia ...... **▼ GD** Grenada SL SL Sierra Leone X TJ ĭ TM Turkmenistan ..... **E** GM Gambia ☑ TR Turkey ..... HR Croatia ..... X TT Trinidad and Tobago ...... HU Hungary ..... X TZ United Republic of Tanzania X ID Indonesia **W** UA Ukraine ..... ĭI ⊠ Israel ..... ☑ UG Uganda ..... **⊠** IN **⊠** US **⊠** IS Iceland IN UZ Uzbekistan ..... X VN Viet Nam ...... X YU Yugoslavia ...... KP Democratic People's Republic of Korea ZA South Africa ..... KR Republic of Korea ..... Check-boxes reserved for designating States which have become party to the PCT after issuance of this sheet: DZ Algeria LC Saint Lucia LK Sri Lanka AG Antigua and Barbuda ☑ BZ Belize

Precautionary Designation Statement: In addition to the designations made above, the applicant also makes under Rule 4.9(b) all other designations which would be permitted under the PCT except any designation(s) indicated in the Supplemental Box as being excluded from the scope of this statement. The applicant declares that those additional designations are subject to confirmation and that any designation which is not confirmed before the expiration of 15 months from the priority date is to be regarded as withdrawn by the applicant at the expiration of that time limit. (Confirmation (including fees) must reach the receiving Office within the 15-month time limit.)

### Supplemental Box

If the Supplemental Box is not used, this sheet should not be included in the request.

1. If, in any of the Boxes, the space is insufficient to furnish all the information: in such case, write "Continuation of Box No. ..." [indicate the number of the Box] and furnish the information in the same manner as required according to the captions of the Box in which the space was insufficient, in particular:

- if more than two persons are involved as applicants and/or inventors and no "continuation sheet" is available: in such case, write "Continuation of Box No. III" and indicate for each additional person the same type of information as required in Box No. III. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated
- if, in Box No. II or in any of the sub-boxes of Box No. III, the indication "the States indicated in the Supplemental Box" is checked: in such case, write "Continuation of Box No. II" or "Continuation of Box No. II and No. III" (as the case may be), indicate the name of the applicant(s) involved and, next to (each) such name, the State(s) (and/or, where applicable, ARIPO, Eurasian, European or OAPI patent) for the purposes of which the named person is applicant;
- if, in Box No. II or in any of the sub-boxes of Box No. III, the inventor or the inventor/applicant is not inventor for the purposes of all designated States or for the purposes of the United States of America: in such case, write "Continuation of Box No. II" or "Continuation of Box No. II" or "Continuation of Box No. II" or "Continuation of Box No. II" (as the case may be), indicate the name of the inventor(s) and, next to (each) such name, the State(s) (and/or, where applicable, ARIPO, Eurasian, European or OAPI patent) for the purposes of which the named person is inventor; (iii)
- if, in addition to the agent(s) indicated in Box No. IV, there are further agents: in such case, write "Continuation of Box No. IV" and indicate for each further agent the same type of information as required in Box No. IV;
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## Continution of Box No IV Sheet 1:

## Additional Representatives:

KEARNEY. ASHMEAD.

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JENNINGS.

Richard John Nigel Robin

SHEARD. REES.

**Andrew Gregory** David Christopher

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Sheet No.

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